



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yuki HORII et al.

Group Art Unit : Not Yet Assigned

Appl. No. : 10/597,779 (National Stage of
PCT/JP2005/001780)

Examiner : Not Yet Assigned

I.A. Filed : February 7, 2005

Confirmation No. : 6266

For : PROGRAM EXECUTION DEVICE, PROGRAM EXECUTION METHOD,
AND PROGRAM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop AMENDMENT
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicants hereby bring to the attention of the Examiner the following information.

The following documents were cited in an International Search Report with respect to International Patent Application No. PCT/JP2005/001780, of which the present application is the U.S. National Stage Application. A copy of the International Search Report has been filed concurrently with the present application and should thus have already been brought to the attention of the Examiner.

- (1) Japanese Laid-Open Patent Publication No. 2004-023753 A, together with an English language Abstract of the same;
- (2) Japanese Laid-Open Patent Publication No. 2003-316591 A, together with an English language Abstract of the same, and patent family member U.S. Patent Application Publication No. 2003/0200061 to YAMANAKA et al., which was published on October 23, 2003;
- (3) Japanese Laid-Open Patent Publication No. 2001-318793 A, together with an English language Abstract of the same;
- (4) Japanese Laid-Open Patent Publication No. 2002-351680 A, together with an English language Abstract of the same, and patent family member U.S. Patent Application Publication No. 2002/0184372 to ISHIKAWA et al., which was published on December 5, 2002; and
- (5) Japanese Laid-Open Patent Publication No. 2002-335186 A, together with an English language Abstract of the same, and patent family member U.S. Patent Application Publication No. 2006/0035671 to MUKAI et al., which was published on February 16, 2006.

The relevance of the documents cited in the International Search Report, as ascertained with respect to the international claims by the International Examiner, is set forth in the International Search Report.

Applicants further bring to the attention of the Examiner the following documents:

- (6) Cable Television Laboratories, "OpenCable Application Platform Specification", OCAP 1.0 Profile, OC-SP-OCAP 1.0-IF-I09-031121,

November 21, 2003, pages 77-81. Applicants note that this document is cited beginning at page 1 of the specification of the above-captioned application;

- (7) Japanese Laid-Open Patent Publication No. HEI 6-324812 A, together with an English language Abstract of the same. Applicants note that this document is cited beginning at page 2 of the specification of the above-captioned application;
- (8) Japanese Laid-Open Patent Publication No. HEI 10-021058 A, together with an English language Abstract of the same. Applicants note that this document is cited beginning at page 3 of the specification of the above-captioned application;
- (9) U.S. Patent Application Publication No. 2006/0193597 to HORII et al., which was published on August 31, 2006;
- (10) U.S. Patent Application No. 11/383,816 to HASHIMOTO et al., which was filed on May 17, 2006;
- (11) U.S. Patent Application No. 11/420,639 to HORII, which was filed on May 26, 2006;
- (12) U.S. Patent Application No. 11/421,146 to HORII, which was filed on May 31, 2006;
- (13) U.S. Patent Application No. 11/421,126 to HASHIMOTO et al., which was filed on May 31, 2006;

- (14) U.S. Patent Application No. 10/596,107 to HASHIMOTO et al., which was filed on May 31, 2006;
- (15) U.S. Patent Application No. 11/421,145 to SUZUKI et al., which was filed on May 31, 2006;
- (16) U.S. Patent Application No. 11/425,727 to HORII et al., which was filed on June 22, 2006;
- (17) U.S. Patent Application No. 11/420,982 to KAWAKAMI et al., which was filed on May 30, 2006; and
- (18) U.S. Patent Application No. 11/422,206 to WATANABE et al., which was filed on June 5, 2006.

Further to 37 C.F.R. §1.98 (a)(2)(ii) and the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(iii) if the U.S. patent application was filed after June 30, 2003, copies of the U.S. patent publications and patent applications are not enclosed herewith. However, if any copy is needed, the Examiner is respectfully requested to contact the undersigned.

Also, when the above-noted pending applications are published, the Examiner is respectfully requested to list their publication numbers on a PTO 1449 Form (or PTO 892 Form) to confirm consideration thereof.

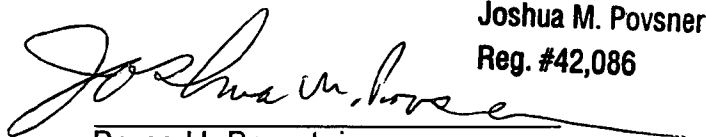
Applicants respectfully request that the Examiner consider the above material and cite the same. Copies of the above-noted foreign and international documents, including the noted English language Abstracts, are attached hereto, and all of the documents are listed on an attached PTO-1449 Form. The Examiner is requested to

initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present application, and thus, no fee is believed necessary to ensure consideration of the submitted material.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,
Yuki HORII et al.


Joshua M. Povsner
Reg. #42,086
Bruce H. Bernstein
Reg. No. 29,027

November 13, 2006
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, Virginia 20191
(703) 716-1191

FORM PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No.
P30415Application No.
10/597,779

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**
(Use several sheets if necessary)

Applicant
Yuki HORII et al.Filing Date
I.A. Filed February 7, 2005Group
Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2003 /	0 2 0 0 0 6 1	10/23/03	YAMANAKA et al.			
	2002 /	0 1 8 4 3 7 2	12/05/02	ISHIKAWA et al.			
	2006 /	0 0 3 5 6 7 1	02/16/06	MUKAI et al.			
	2006 /	0 1 9 3 5 9 7	08/31/06	HORII et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/C.N./	2004	- 0 2 3 7 5 3	01/22/04	JAPAN			
/C.N./	2003	- 3 1 6 5 9 1	11/07/03	JAPAN			
/C.N./	2001	- 3 1 8 7 9 3	11/16/01	JAPAN			
/C.N./	2002	- 3 5 1 6 8 0	12/06/02	JAPAN			
/C.N./	2002	- 3 3 5 1 8 6	11/22/02	JAPAN			X
/C.N./	6	- 3 2 4 8 1 2	11/25/94	JAPAN			
/C.N./	10	- 0 2 1 0 5 8	01/23/98	JAPAN			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/C.N./	1	English Language Abstract of JP 2004-023753.
/C.N./	2	English Language Abstract of JP 2003-316591.
/C.N./	3	English Language Abstract of JP 2001-318793.
/C.N./	4	English Language Abstract of JP 2002-351680.
/C.N./	5	English Language Abstract of JP 2002-335186.
/C.N./	6	English Language Abstract of JP 6-324812.
/C.N./	7	English Language Abstract of JP 10-021058.
/C.N./	8	Cable Television Laboratories, "OpenCable Application Platform Specification ", OCAP 1.0 Profile, OC-SP-OCAP 1.0-IF-I09-031121, November 21, 2003, pages 77-81.
/C.N./	9	U.S. Patent Application No. 11/383,816 to HASHIMOTO et al., filed on May 17, 2006.
/C.N./	10	U.S. Patent Application No. 11/420,639 to HORII, filed on May 26, 2006.
/C.N./	11	U.S. Patent Application No. 11/421,146 to HORII, filed on May 31, 2006.
/C.N./	12	U.S. Patent Application No. 11/421,126 to HASHIMOTO et al., filed on May 31, 2006.
/C.N./	13	U.S. Patent Application No. 10/596,107 to HASHIMOTO et al., filed on May 31, 2006.
/C.N./	14	U.S. Patent Application No. 11/421,145 to SUZUKI et al., filed on May 31, 2006.
/C.N./	15	U.S. Patent Application No. 11/425,727 to HORII et al., filed on June 22, 2006.
/C.N./	16	U.S. Patent Application No. 11/420,982 to KAWAKAMI et al., filed on May 30, 2006.
/C.N./	17	U.S. Patent Application No. 11/422,206 to WATANABE et al., filed on June 5, 2006.

EXAMINER /Chris Nelson/

DATE CONSIDERED 02/25/2011

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.